

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | |
|---|------------------------|--------------------|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Application Number | 09849969 |
| | Filing Date | 2001-05-08 |
| | First Named Inventor | Randolph J. Noelle |
| | Art Unit | 1644 |
| | Examiner Name | P. Gambel |
| | Attorney Docket Number | 20052/1200521-US3 |

| U.S. PATENTS | | | | | | Remove |
|---|---------|--------------------|------------------------|------------------|---|--|
| Examiner Initial* | Cite No | Patent Number | Kind Code ¹ | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
| PL | 1 | 5833987 | B1 | 1998-11-10 | Noelle et al. | |
| | 2 | 6328964 | | 2001-12-11 | Noelle et al. | |
| | 3 | 5747037 | | 1998-05-05 | Noelle et al. | |
| | 4 | 6264951 | B1 | 2001-07-24 | Armitage | |
| | 5 | 5565491 | | 1996-10-15 | Schieven | |
| PL | 6 | 6056956 | B1 | 2000-05-02 | Cobbold et al. | |
| If you wish to add additional U.S. Patent citation information please click the Add button. | | | | | | Add |
| U.S. PATENT APPLICATION PUBLICATIONS | | | | | | Remove |
| Examiner Initial* | Cite No | Publication Number | Kind Code ¹ | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |

Theresa Gambel 9/17/07

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

| | | |
|------------------------|--------------------|------------|
| Application Number | | 09849969 |
| Filing Date | | 2001-05-08 |
| First Named Inventor | Randolph J. Noelle | |
| Art Unit | 1644 | |
| Examiner Name | P. Gambel | |
| Attorney Docket Number | 20052/1200521-US3 | |

| | | | | | | |
|---|--|--|--|--|--|--|
| 1 | | | | | | |
|---|--|--|--|--|--|--|

If you wish to add additional U.S. Published Application citation information please click the Add button. **Add**

FOREIGN PATENT DOCUMENTS

Remove

| Examiner Initial* | Cite No | Foreign Document Number ³ | Country Code ² | Kind Code ⁴ | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear. | T ⁵ |
|-------------------|---------|--------------------------------------|---------------------------|------------------------|------------------|--|---|--------------------------|
| 1 <i>PB</i> | 1 | 95/06481 | WO | | 1995-03-09 | Dartmouth College et al. | | <input type="checkbox"/> |
| 2 | 2 | 94/28912 | WO | | 1994-12-22 | Univ Michigan et al. | | <input type="checkbox"/> |
| 3 | 3 | 93/09812 | WO | | 1993-05-27 | The Trustees of Columbia University in the City of | | <input type="checkbox"/> |
| 4 | 4 | 95/06666 | WO | | 1995-03-09 | Dartmouth College et al. | | <input type="checkbox"/> |
| 5 <i>M</i> | 5 | 0555880 | EP | | 1993-08-18 | Squibb Bristol Myers Co et al. | | <input type="checkbox"/> |

If you wish to add additional Foreign Patent Document citation information please click the Add button. **Add**

NON-PATENT LITERATURE DOCUMENTS




Remove

| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T ⁵ |
|--------------------|---------|---|--------------------------|
| | 1 | Franco et al. (Apr. 1994) Eur. J. Immunol. vol. 24(4) 940-946. | <input type="checkbox"/> |

P Gambel ASMB 2 9/1/07

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

| | |
|------------------------|--------------------|
| Application Number | 09849969 |
| Filing Date | 2001-05-08 |
| First Named Inventor | Randolph J. Noelle |
| Art Unit | 1644 |
| Examiner Name | P. Gambel |
| Attorney Docket Number | 20052/1200521-US3 |

| | | | |
|---|----|---|--------------------------|
|  | 2 | Durie et al. (1993) Science vol. 261 (5126):1328-30. | <input type="checkbox"/> |
|  | 3 | Mohan et al. (Apr. 24-28, 1994) FASEB Journal vol. 8 (4-5):A967. | <input type="checkbox"/> |
| | 4 | Durie et al. (Oct. 1994) Clinical Immunopathol. vol. 73(1): 11-18. | <input type="checkbox"/> |
| | 5 | Laman et al. (Oct. 23-27, 1994) Journal of Neuroimmunology vol. 54 (1-2):. 5. | <input type="checkbox"/> |
| | 6 | Harris et al. (1993) Tibtech vol. 11:42-44. | <input type="checkbox"/> |
| | 7 | Queen et al. (1989) Proc. Natl. Acad. Sci. vol. 86:10029-33. | <input type="checkbox"/> |
| | 8 | Schluep et al. Eur Neurol 38: 216-221 (1997). | <input type="checkbox"/> |
| | 9 | Hauser et al. Ann Neurol 36: 5157-5162 (1994). | <input type="checkbox"/> |
| | 10 | Dijkstra et al. Tips Reviews 14: 124-129 (1993). | <input type="checkbox"/> |
| | 11 | The Merck Manual of Diagnosis and Therapy 17th Edition pp. 1474-1476, Merck Research Laboratories Whitehouse Station, NJ 1999. | <input type="checkbox"/> |
|  | 12 | F. Durie et al.: "Prevention of Collagen-Induced Arthritis with an Antibody to GP39, the Ligand for CD40." Science, vol. 261, no. 5126, 3 September 1993, Washington, DC, USA pages 1328-1330, XP 002013403 | <input type="checkbox"/> |

P. Gambel 9/17/07

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

| | |
|------------------------|--------------------|
| Application Number | 09849969 |
| Filing Date | 2001-05-08 |
| First Named Inventor | Randolph J. Noelle |
| Art Unit | 1644 |
| Examiner Name | P. Gambel |
| Attorney Docket Number | 20052/1200521-US3 |

| | | | |
|--|----|---|--------------------------|
| | 13 | K. Gerritse et al.: "CD40-CD40 Ligand Interactions in Experimental Allergic Encephalomyelitis and Multiple Sclerosis." Proceedings of the National Academy of Sciences of the USA, vol. 93, no. 6, 19 March 1996, Washington DC, USA pages 2499-2504, XP002013404 | <input type="checkbox"/> |
|--|----|---|--------------------------|

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

| | | | |
|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.